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PTO/SB/30 (01-03)  
Approved for use through 04/30/2003. OMB 0651-0031  
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# Request For Continued Examination (RCE) Transmittal

Address to:  
Commissioner for Patents  
Box RCE  
Washington, DC 20231

Application Number	09/605,293
Filing Date	June 28, 2000
First Named Inventor	David L. Chapek
Art Unit	2815
Examiner Name	N.D. Richards
Attorney Docket Number	MIO 0037 VA

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4/30/03  
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**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

## 1. Submission required under 37 CFR 1.114

- a. ☒ Previously submitted
- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on March 11, 2003  
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

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## 2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

## 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  
The Director is hereby authorized to charge the following fees, or credit any overpayments, to
- a. ☐ Deposit Account No. \_\_\_\_\_

- i. ☐ RCE fee required under 37 CFR 1.17(e) **04/16/2003 AMONDAF1 00000008 09605293**
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17) **01 FC:1801**
- iii. ☐ Other \_\_\_\_\_ **750.00 OP**

- b. ☒ Check in the amount of \$ 750.00 enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Timothy W. Hagan	Registration No. (Attorney/Agent)	29,001
Signature	<i>Timothy W. Hagan</i>	Date	April 10, 2003

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Timothy W. Hagan	Date	April 10, 2003
Signature	<i>Timothy W. Hagan</i>		

Page 1 of 2

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Box RCE, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



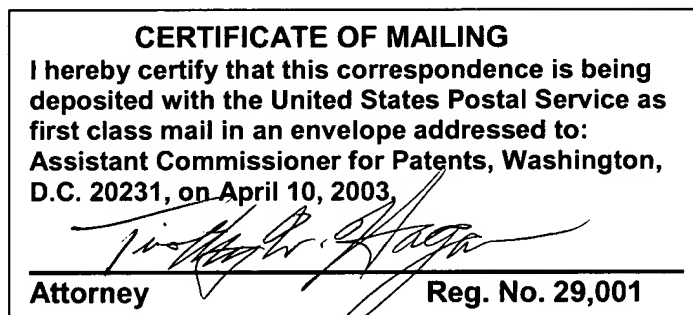
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4/30/3  
Sunder

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Applicant : David L. Chapek  
Serial No : 09/605,293  
Filed : June 28, 2000  
Title : SEMICONDUCTOR DEVICES INCLUDING A LAYER OF  
POLYCRYSTALLINE SILICON HAVING A SMOOTH  
MORPHOLOGY  
Docket : MIO 0037 VA  
Examiner : N.D. Richards  
Art Unit : 2815  
Conf. No : 5927

Assistant Commissioner for Patents  
Washington, DC 20231



Sir:

PRELIMINARY AMENDMENT

This paper is being filed concurrently with a Request for Continued Prosecution. After entry of the previously-filed Amendment After Final rejection in this application, please enter this amendment.

This amendment is using the revised format recently approved for use by the USPTO at 1265 OG 87 (Dec. 17, 2002), now extended to all patent applicants. In accordance with the **REVISED AMENDMENT FORMAT** and waiver of 37 CFR §1.121, as promulgated by order of Stephen Kunin, Deputy Commissioner for Patent Examination Policy, on January 31, 2003, the entire set of presently pending claims has been reproduced below in the approved revised amendment format. Any amendments to the specification and drawings have also been presented in the revised format. No separate marked-up copy of the amended claims has been provided.

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